

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Duke University

Application No./Patent No.: 6,998,106

Filed/Issue Date: February 14, 2006

Entitled: RADIOCONJUGATION OF INTERNALIZING ANTIBODIES

Duke University, an educational institution

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 017277, Frame 0943, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Inventors

To:

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[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

June 7, 2011

Date

202-824-3000

Telephone Number

/Sarah A. Kagan/

Signature

Sarah A. Kagan

Typed or printed name

Outside Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Total Assignments: 2**Patent #:** [6998106](#) **Issue Dt:** 02/14/2006 **Application #:** 09203676 **Filing Dt:** 12/01/1998**Inventor:** MICHAEL R. ZALUTSKY**Title:** RADIOCONJUGATION OF INTERNALIZING ANTIBODIES**Assignment: 1****Reel/Frame:** [002822/0005](#)**Recorded:** 03/18/1999**Pages:** 2**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignor:** [ZALUTSKY, MICHAEL R.](#)**Exec Dt:** 03/03/1999**Assignee:** [DUKE UNIVERSITY](#)230 NORTH BUILDING
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WASHINGTON, D.C. 20001-4597**Assignment: 2****Reel/Frame:** [021176/0718](#)**Recorded:** 07/01/2008**Pages:** 2**Conveyance:** CONFIRMATORY LICENSE (SEE DOCUMENT FOR DETAILS).**Assignor:** [DUKE UNIVERSITY](#)**Exec Dt:** 04/06/2000**Assignee:** [NATIONAL INSTITUTES OF HEALTH \(NIH\), U.S. DEPT. OF HEALTH AND HUMAN SERVICES \(DHHS\), U.S. GOVERNMENT](#)NIH DIVISION OF EXTRAMURAL INVENTIONS AND TECHNOLOGY RESOURCES (DETR)
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